

04-19-04

**PART B - FEE(S) TRANSMITTAL**

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

7590 03/10/2004

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/621,202	07/15/2003	Toshio Endo	01776-4021US5	1095

TITLE OF INVENTION: FATTY ACID ESTERS COMPOSITION OF A POLYGLYCERINE, A PROCESS FOR THE PREPARATION THEREOF, A PROCESS FOR THE PREPARATION OF A HIGHLY-PURIFIED FATTY ACID ESTERS COMPOSITION OF A POLYGLYCERINE, A HIGHLY-PURIFIED FATTY ACID ESTERS COMPOSITION OF A POLYGLYCERINE, AN ADDI

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	06/10/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
CARR, DEBORAH D	1621	554-149000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Morgan & Finnegan, LLP

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Daicel Chemical Industries, Ltd. 1-Banchi, Teppo-Cho, Sakai-Shi, Osaka-Fu, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee
- ☐ Advance Order - # of Copies 6

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 13-4500 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature) [Signature] (Date) 4/15/04  
Reg. No. 54,084

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

04/20/2004 JADD02 00000004 10621202

01 FC:1501	1330.00 OP
02 FC:1504	300.00 OP
03 FC:8001	18.00 OP

TRANSMIT THIS FORM WITH FEE(S)



Docket No. 1776-4021US5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s)** : Toshio Endo  
**Serial No.** : 10/621,202  
**Filed** : July 15, 2003  
**For** : Fatty Acid Esters Composition Of A Polyglycerine, A Process For The Preparation Thereof, A Process For The Preparation Of A Highly-Purified Fatty Acid Esters Composition Of A Polyglycerine, A Highly-Purified Fatty Acid Esters Composition Of A Polyglycerine, An Additive For Food-Stuffs, A Resin Composition, And A Composition For Cosmetics Or Detergents  
**Group Art Unit** : 1621  
**Examiner** : Deborah D. Carr  
**Notice of Allowance:** March 10, 2004  
**Confirmation No.:** 1095

EXPRESS MAIL CERTIFICATE

**Express Mail Label No.:** EV 245 496 355US

**Date of Deposit:** April 15, 2004

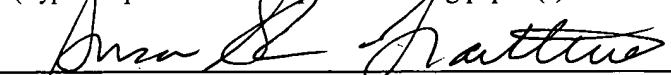
I hereby certify that the following attached paper(s) and/or fee

1. Issue Fee Transmittal;
2. Part B – Fees Transmittal (in duplicate);
3. Check of \$1,648.00;
4. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Mail Stop: Issue Fee, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313

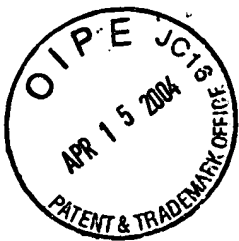
Susan Shen-Frattura

(Typed or printed name of person mailing papers(s) and/or fee)

  
(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile



PATENT

Docket No. 1776-4021U5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s)** : Toshio Endo

**Serial No.** : 10/621,202

**Filed** : July 15, 2003

**For** : Fatty Acid Esters Composition Of A Polyglycerine, A Process For The Preparation Thereof, A Process For The Preparation Of A Highly-Purified Fatty Acid Esters Composition Of A Polyglycerine, A Highly-Purified Fatty Acid Esters Composition Of A Polyglycerine, An Additive For Food-Stuffs, A Resin Composition, And A Composition For Cosmetics Or Detergents

**Group Art Unit** : 1621

**Examiner** : Deborah D. Carr

**Notice of Allowance:** March 10, 2004

**Confirmation No.:** 1095

MAIL STOP: ISSUE FEE  
Commissioner for Patents  
PO BOX 1450  
Alexandria, VA 22313-1450

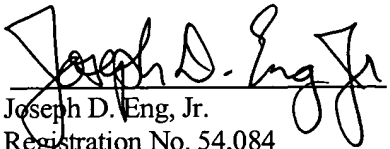
ISSUE FEE TRANSMITTAL

Sir:

Applicant hereby encloses One Thousand Six Hundred Forty Eight Dollars (\$1,648.00) for the following: issue fee due in the above-referenced U.S. patent application (\$1330.00), publication fee (\$300.00) and six (6) soft copies of the patent (\$18.00). Also attached with this Issue Fee Transmittal is Form PTOL-85B in duplicate.

Please charge any additional fees required in connection with this submission to Deposit Account No. 13-4500, Order No. 1776-4021US5.

Respectfully submitted,  
**MORGAN & FINNEGAN, L.L.P.**

  
Joseph D. Eng, Jr.  
Registration No. 54,084

Dated: April 15, 2004

Mailing Address:  
Morgan & Finnegan, L.L.P.  
345 Park Avenue  
New York, NY 10154  
(212) 758-4800; (212) 751-6849 (Facsimile)